



DAM SAFETY REGULATORY PROGRAM

Presenting At The Hurricane Workshop

By: Lariab Afzaal, Civil Engineer II

Lariab.Afzaal@ct.gov



GENERAL INFORMATION

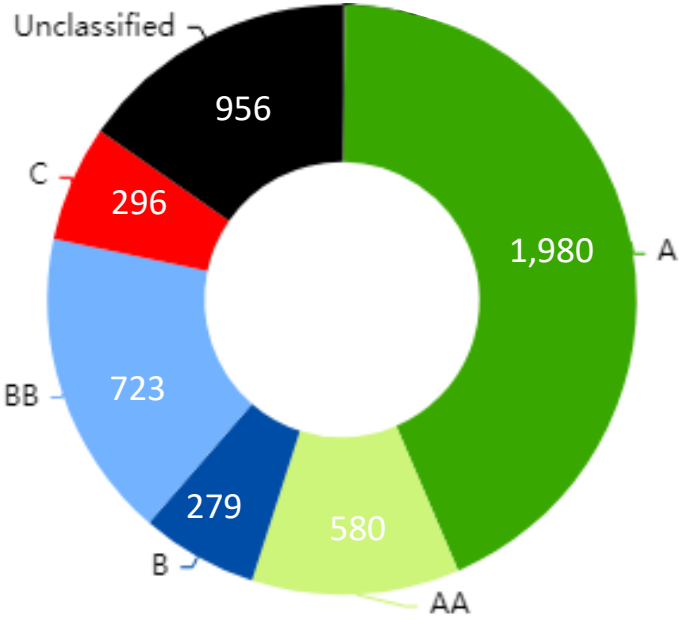
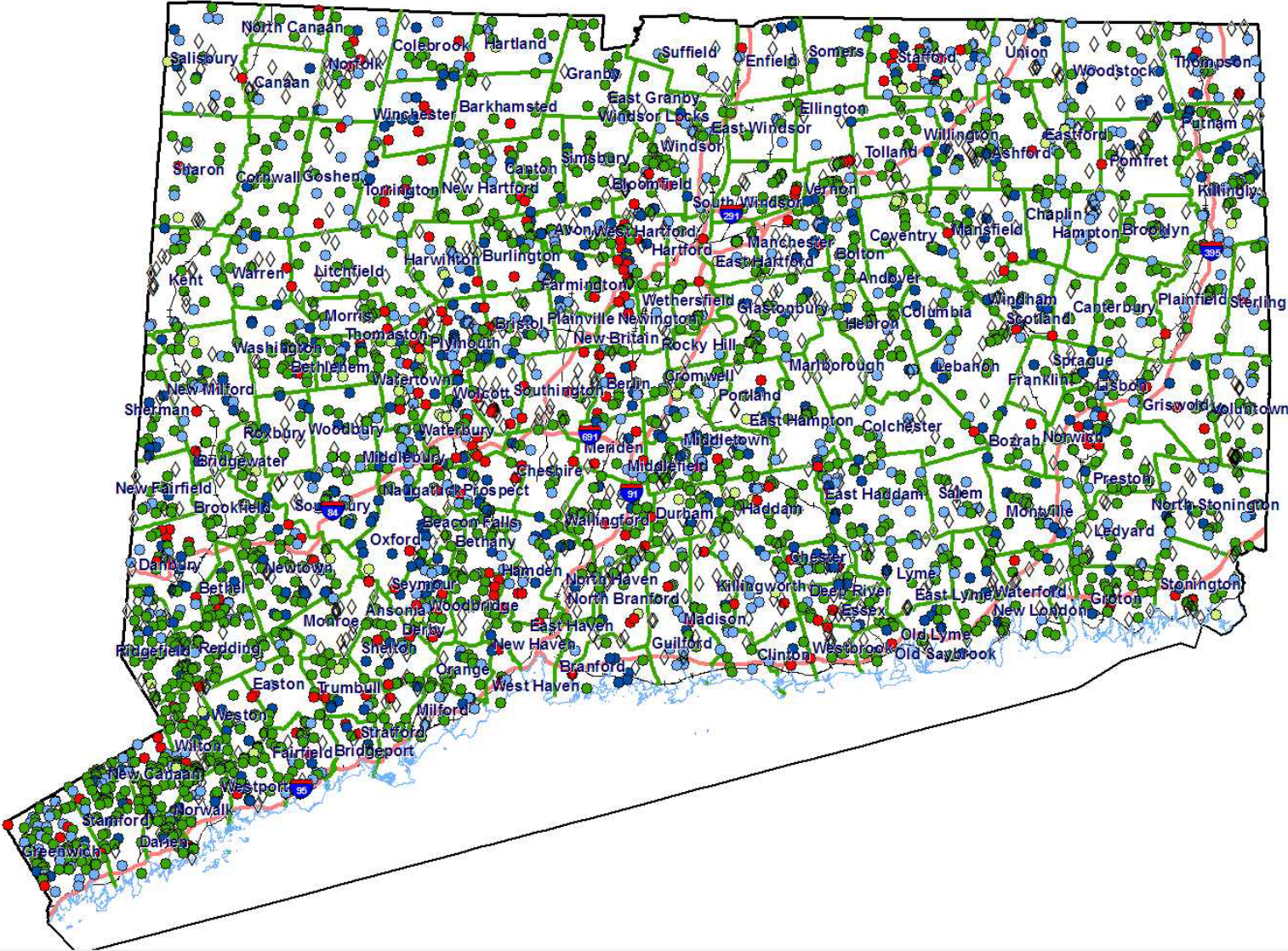
DAM SAFETY REGULATORY PROGRAM

“All dams, dikes, reservoirs and other similar structures, with their appurtenances,..., which, by breaking away or otherwise, might endanger life or property, shall be subject to the jurisdiction conferred by this chapter.”

Chapter 446j Connecticut General Statutes - Section 22a-401



DAM INVENTORY MAP



- C – High Hazard Potential
- B – Significant Hazard Potential
- BB – Moderate Hazard Potential
- A – Low Hazard Potential
- AA – Negligible Hazard Potential

DAM SAFETY REGULATORY PROGRAM

Each dam has a....

- ☐ CT Dam ID number
- ☐ Dam Name
- ☐ Hazard Class
- ☐ Condition

The screenshot displays the 'Administration' tab of the Connecticut Dam Safety Regulatory Program database. The interface is divided into several sections for data entry and viewing.

Top Section: Includes a search bar, a '201' ID field (circled in red), and fields for Dam Name (QUILLINAN RESERVOIR DAM), Pond Name AKAs (QUILLINAN RESERVOIR), Hazard Class (C, circled in red), Town (ANSONIA), County (NEW HAVEN), Purpose (Aesthetics), and Owner Type (State Owned). It also shows the Regulatory Inspection due date (12/31/2024), Inspection Assignment Year (2024), and a logo for the Connecticut Dam Safety Program.

Navigation Tabs: Administration, Physical Data, Owners Operators, Assignments, Reports, Paperwork, Permits NOVs Orders, Reports and Queries.

Left Column (Administration): Contains fields for CT Dam# (201), Action Priority, DAM NAME (QUILLINAN RESERVOIR DAM), POND NAME / aka's (QUILLINAN RESERVOIR), Dam Status (Active In Use), DAMTOWN (ANSONIA), COUNTY (NEW HAVEN), Purpose (Aesthetics), NID Code (R), 2nd Purpose (Recreation), Nearest Street Address, and Access Notes.

Right Column (Hazard and EAP): Includes DEEP Hazard Class (C), NID Hazard Class (H), nsp Frequency years (2), and last Reassessment Date (4/15/2015). It also features a 'Notes' section with a warning about potential damage to structures on Brook Street, Buswell Street, and Middle Street. Below this is the EAP (Emergency Action Plan) section, including EAP Date (5/6/2016), EAP Recd Date (5/12/2016), EAP Accepted, and various checkboxes for EAP requirements.

Bottom Left (Regulatory and Recordation): Contains fields for Dam Reg? (Yes), Date 1st form (8/20/1984), Reg fee (\$0.00), 1st CDR sent? (Yes), 6/29/2015, SIMS Reg EI (201204983), IMS Recd/upload date (6/12/2012), SIMS Owner Outdated? (No), and various checkboxes for recordation and notes.

Bottom Center (Structures and Licenses): Includes fields for Regulatory Authority (DEEP), FERC Project, FERC Licensee, FERC License#, LicenseType, In NID? (Yes), NID ID# (CT00024), Prev NID ID#, # Structures, NID Owner list use, Fed Property? (No), and NRCS ID#.

Bottom Right (Fishway and Condition Assessment): Includes Fishway and Fisheries Interests, Fish Report, Date, Breached? (No), and a Condition Assessment section. The Condition Assessment is currently 'Fair' (circled in red), with a note about a 2015 report and H&H review. It also shows the Condition Assessment Date (9/13/2022), Condition Assessment Status (Unconfirmed by DEEP), and Spw capacity % design.

Footer: Includes buttons for 'Add a NEW dam to database' and 'Exit DamSafety Database'.

THE RELATIONSHIP BETWEEN DAMS AND FLOODING

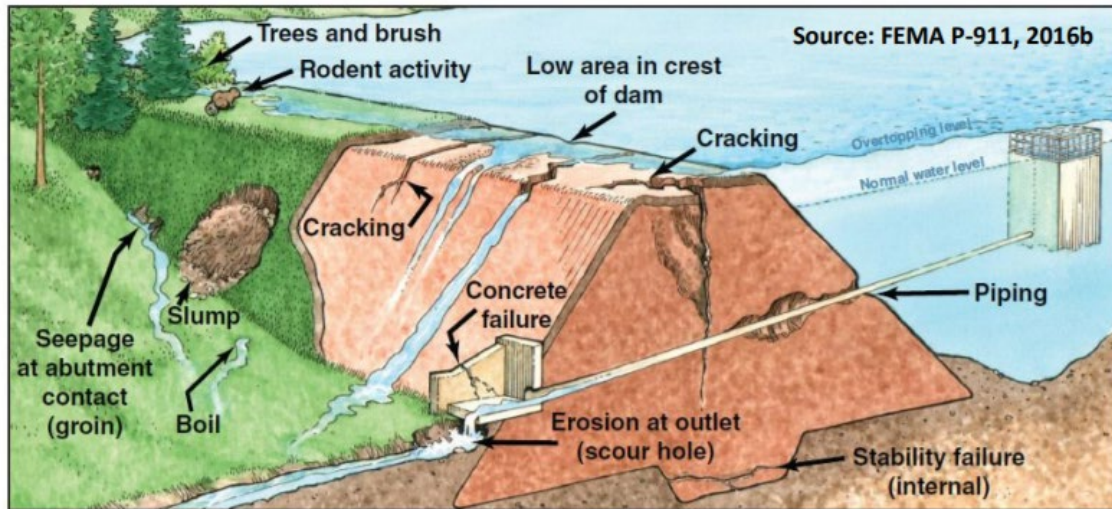


Ellithorpe Flood Control Dam In Stafford

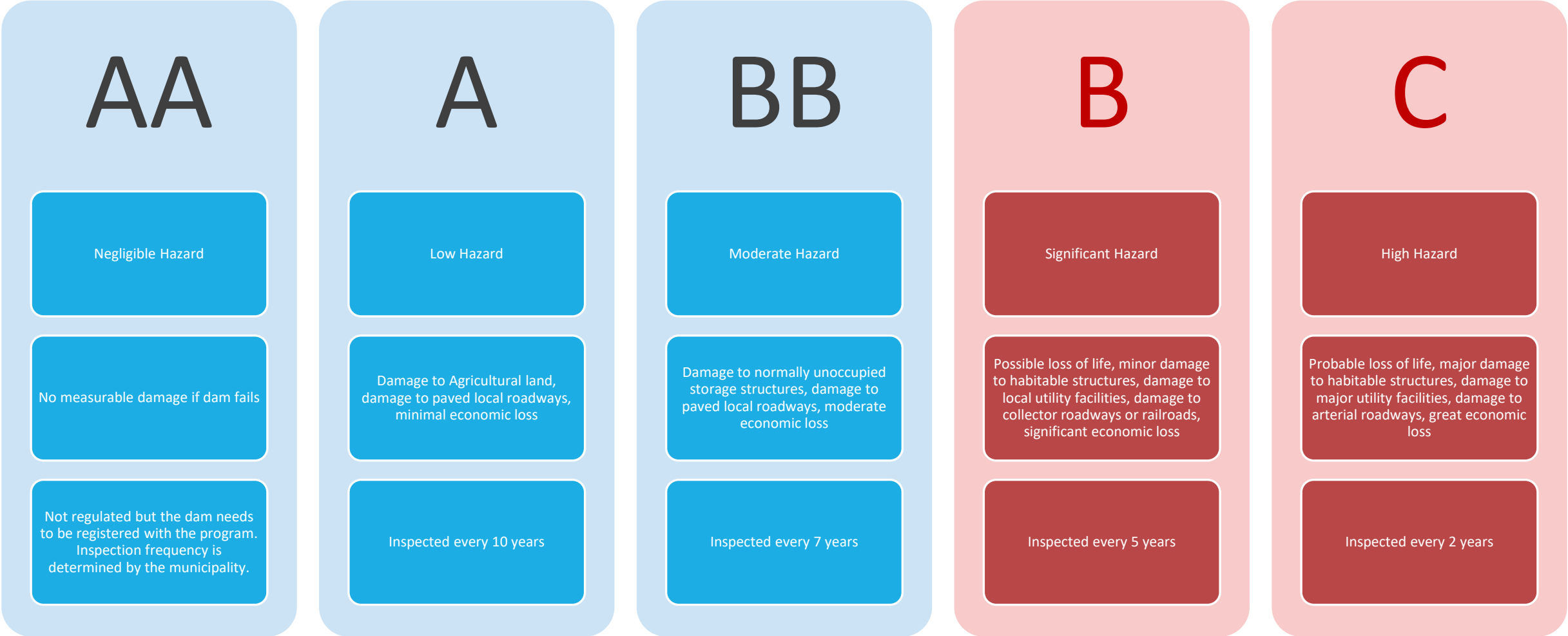
HIGH HAZARD DAM = HIGH RISK?

Dam that are at a higher risk of failure are dams which....

- ☐ Are not maintained
- ☐ Have deficiencies
- ☐ Are in poor condition



DAM HAZARD CLASSIFICATIONS





Regulatory Inspections

INSPECTION REPORTS

When a dam owner receives a NORI they must follow these steps:

- 1. Hire a professional engineer to inspect their dam
- 2. Have the professional engineer submit the inspection report to our program via email DEEP.DamSafety@ct.gov

A professional engineer will assess the condition of the dam and give it a hazard class assessment (if the dam doesn't already have one) The professional engineer will thoroughly inspect the dam and take pictures of the dam. Once the professional engineer has inspected the dam, he/she will make recommendations on dam repairs and maintenance so the dam can be in compliance with state regulations.

DAM SAFETY PROGRAM

DAM INSPECTION REPORT FORM – FOR REGULATORY INSPECTION

Please complete this form in accordance with the instructions (DEEP-DAM-INST-002). Please note that a separate inspection report is required for each individual structure (i.e., a dam and dike on the same waterbody would require two reports, one for the dam and one for the dike).

+

Part I: Summary of Dam Inspection

Dam Name: <input type="text"/>	Inspection Date(s): <input type="text"/>
Alternate Dam Name(s): <input type="text"/>	CT Dam ID #: <input type="text"/>
Location (Municipality): <input type="text"/>	Temperature / Weather: <input type="text"/>
Registered?: Yes or No If yes, provide the 9 digit registration number found on the notification letter. <input type="text"/>	Pool Level: See Instructions <input type="text"/>
Emergency Action Plan?: Yes or No If Yes, see instructions <input type="text"/>	Impoundment Use: use options listed in instructions <input type="text"/>
Hydraulic and Hydrologic Analysis?: Yes or No If Yes, see instructions <input type="text"/>	Stability Analysis?: Yes or No If Yes, see instructions <input type="text"/>
Overall Condition of Dam: (refer to Appendix A located at the end of this form) <input type="text"/>	

Persons present at the inspection (select the tab button in the last cell to the right to create another row)		
Name	Title/Position	Representing
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>



Emergency Action Plans

EMERGENCY ACTION PLANS (EAP)

Owners of Class C (high hazard) or B (significant hazard) dams must submit an EAP for their dam. An EAP contains two parts, one being the procedure taken in case of dam failure and the other being inundation mapping. The EAP needs to be updated every two years.

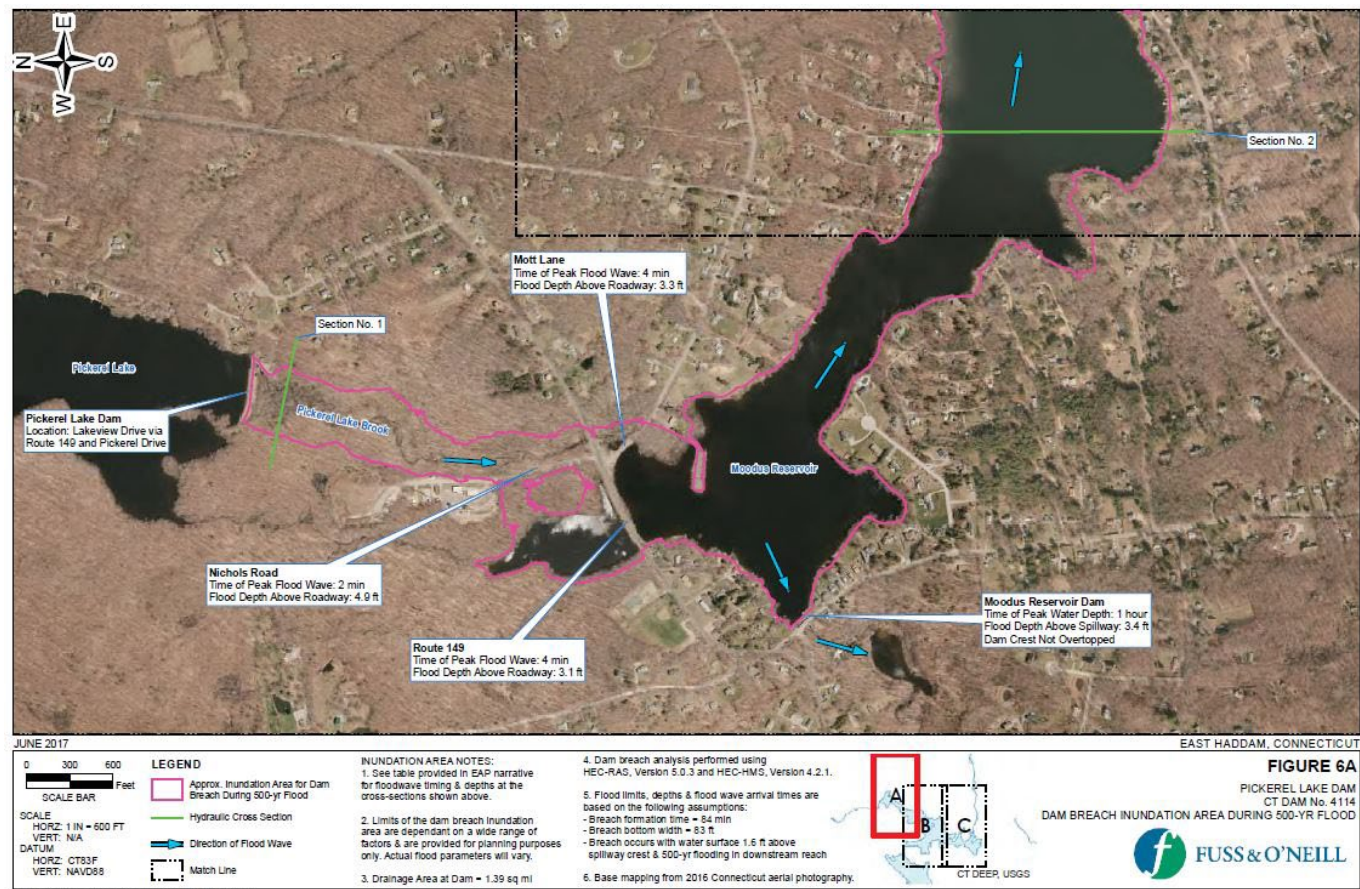


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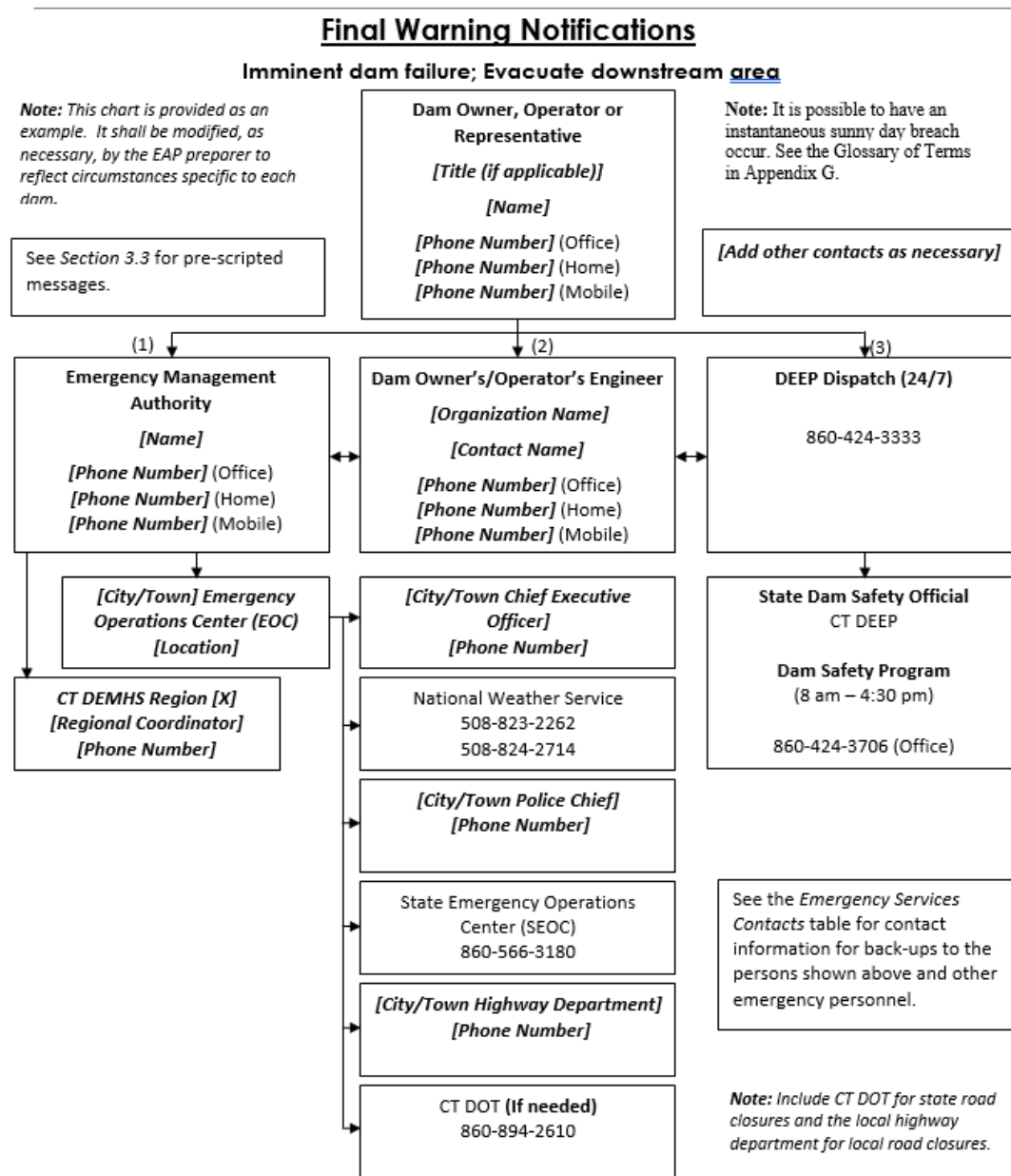
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Date: _____
Revision Date: _____

Pickerel Lake Dam
CT ID No. 4114
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NOTIFICATION FLOWCHARTS



Note: (1), (2), etc., denotes call sequence

Revision Date: _____

Connecticut Department of Energy & Environmental Protection
Water Planning and Management Division
Dam Safety Program

EMERGENCY ACTION PLAN COMPLETENESS REVIEW CHECKLIST

Dam Name: _____ CT Dam ID #: _____

Town(s): _____ Date of EAP Submission: _____
Date of EAP: _____

New or Updated EAP: _____ If Updated, Date of Last Submission: _____

EAP Requirements

1. Title Page

- a. ____ Includes date the EAP was submitted
- b. ____ Identifies the document as an EAP and specifies the dam for which it was developed
- c. ____ Includes dam names, CT Dam ID number, reservoir names, hazard class, and town(s) in which the dam is located

2. Executive Summary

Includes description of:

- a. ____ Physical components of the dam
- b. ____ Pertinent history
- c. ____ Riverine system upstream and downstream of the dam

3. Location of Emergency Operations Center

- a. ____ Identifies location of an EOC - where responsible officials will gather during an emergency to direct and coordinate emergency operation (provide address and phone number)

4. Dam Monitoring Procedure

Outlines procedures for monitoring the dam during periods of heavy rainfall and runoff, or when other conditions develop that warrant close monitoring of the dam

- a. ____ Identifies person and their alternate(s) responsible for conducting monitoring of the dam
- b. ____ Requires initiation of the Dam Monitoring Procedure when the NWS announces a Flood Warning for the area where the dam is located, or when other conditions develop that warrant close monitoring

EAP REVIEW CHECKLIST



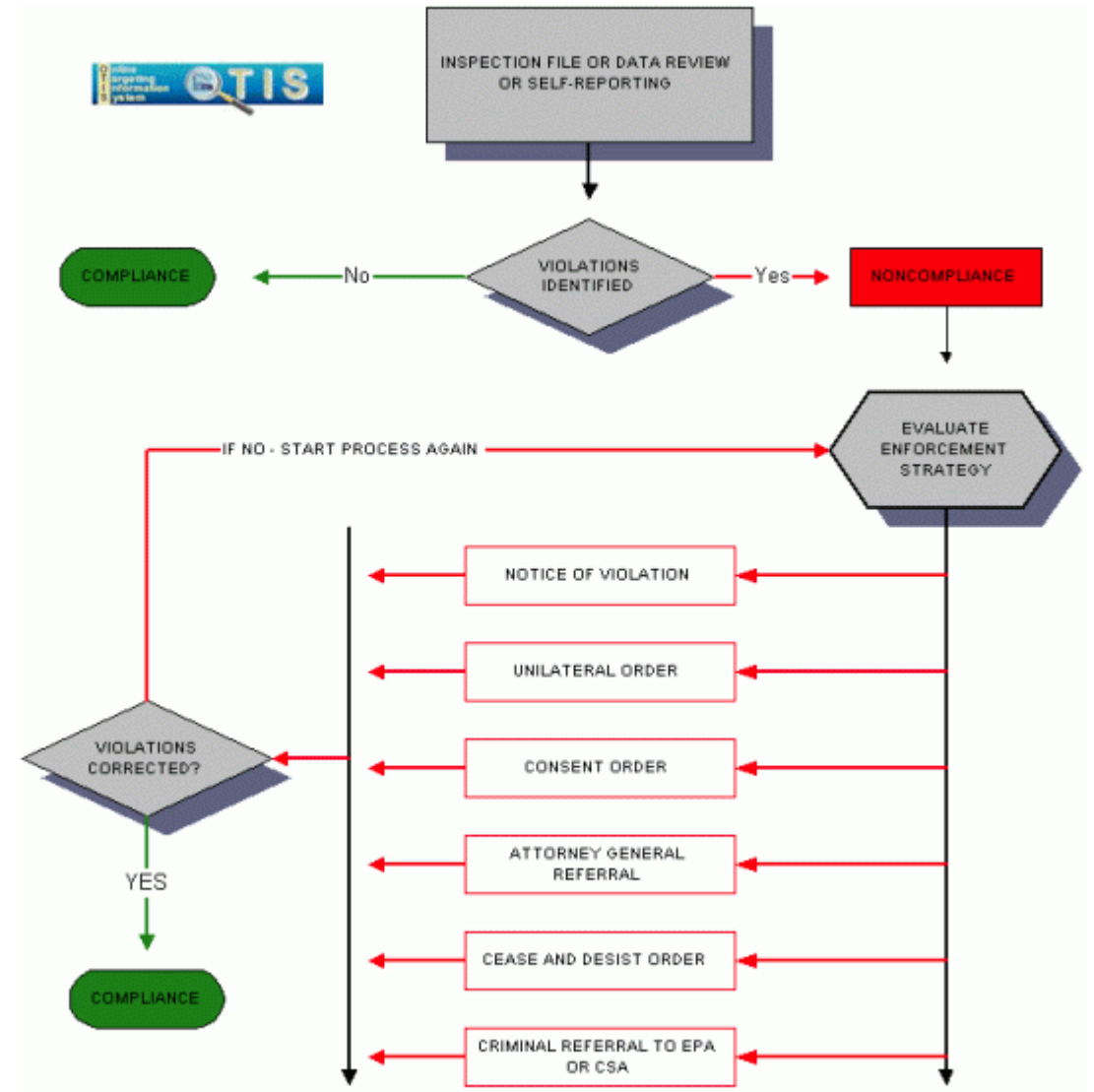
Enforcement

SIGNIFICANT HAZARD DAMS

- Notices of Required Inspection sent in 2025 = 77
- Purpose (total # of B dams in CT = 279)
 - Recreation & Aesthetics = 181
 - Water Supply = 53
 - Hydropower = 11
 - Flood Control = 9
- **54 B Hazard Class Dams Received a Notice of Violation in 2025 for NOT submitting an EAP.**

HIGH HAZARD DAMS

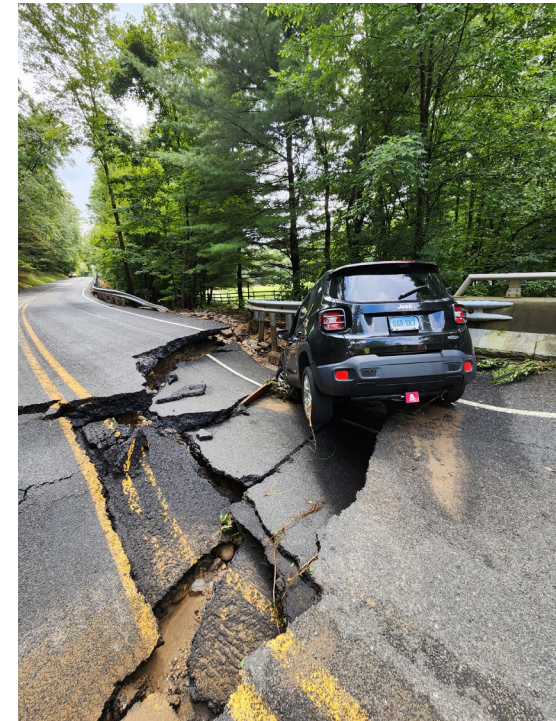
- Notices of Required Inspection sent in 2025 = 109
- Purpose (total # of C dams in CT = 296)
 - Recreation & Aesthetics = 102
 - Water Supply = 112
 - Hydropower = 10
 - Flood Control = 60
- We are in the process of issuing Notice of Violations for C Hazard Class Dams.



FLOOD EVENTS OF 2024



During the 8-18-2024 rain event we observed high flows at 13 dams. We also observed the damage caused by overtopping of the Rader Pond Dam in Woodbury, Wire Company Dams 2-3 in Seymour, and Papermill Dam in Southbury.



EMERGENCY AUTHORIZATIONS

- ❑ We have had a record number of Emergency Authorizations in 2024
- ❑ Used when there is a dam emergency



TRANSFER OF OWNERSHIP / PROPERTY DISCLOSURE FORM

YES	NO	UNK	N/A	B. GENERAL INFORMATION (Continued)
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☐

☐

☐

☐

8) Are you aware of the presence of a dam on the property that has been or is required to be registered with the Department of Energy and Environmental Protection? If yes, explain: _____

<- Property Disclosure Form: [2021---residential-property-condition-report-final-revised.pdf](#)

TRANSFER OF OWNERSHIP

Transfer of Ownership ->

The portion below must be completed by the seller at the time of transfer of the property containing all or part of the above referenced dam and submitted to the Department of Energy and Environmental Protection, Inland Water Resources Division, Dam Safety Section, 79 Elm Street, Hartford, CT 06106-5127.

DAM NAME / ID#:	13403	POMEROY FLOOD CONTROL SITE #3
LOCATION OF DAM:		Parcel ID:
BUYER'S NAME:		
BUYER'S ADDRESS:		
DATE SOLD:		

BEAVER ACTIVITIES





Connecticut

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Dam Safety Regulatory Program

The mission of the DEEP Dam Safety Regulatory Program is to ensure the safety of dams to protect life, property, and the environment by ensuring that all dams are designed, constructed, operated, and maintained safely and effectively. [Dam Safety Statutes & Regulations](#)

The [Dam Safety Statutes](#) were last substantially revised by Public Act 2013-197, which authorized changes regarding Emergency Action Plans (EAPs) and inspection requirements:

- *Dam owners in the State of Connecticut are now responsible for hiring a consultant to conduct regular dam inspections.*
- *The owners of high hazard (Class C) and significant hazard (Class B) dams must file an EAP every two years.*

The Dam Safety regulations were most recently revised with an effective date of February 3, 2016.

- [22a-409: Definitions, Registration, Classification, Inspections](#)
- [22a-411: Definitions, Requirements for Emergency Action Plans](#)

Requirements of the Dam Safety Regulatory Program:

- [Emergency Action Plans \(EAPs\)](#)
- [Dam Inspections](#)
- [Dam Registration and Dam Recordation](#)
- [Permitting \(Dam Repairs, Alterations, or Removals\)](#)

Submissions to the Dam Safety Regulatory Program:

Dam Safety permit applications should be submitted to the DEEP Central Permit Processing Unit. All other submissions must be sent to the DEEP Dam Safety Regulatory Program in electronic pdf format for our official records. These submissions should be sent via email to DEEP.DamSafety@ct.gov if possible. If the file is greater than 20 MB, please review our [Electronic File Transfer Fact Sheet](#).

Please note that we also continue to require that paper copies of EAPs be submitted for our use in the event of an emergency. Staff Engineers may also request paper copies of site plans and inspection reports. When submitting paper copies of plans, please submit full-sized plans to assist our review!

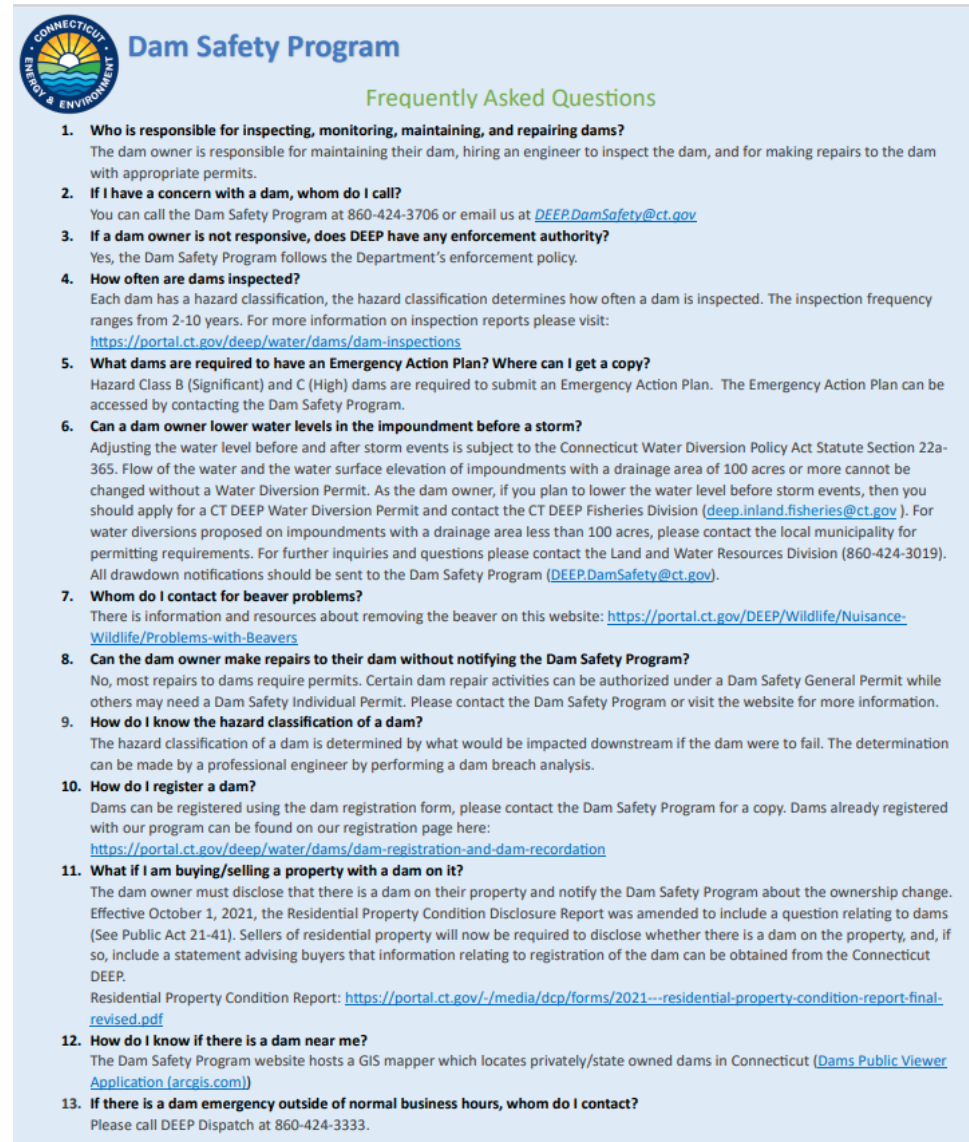
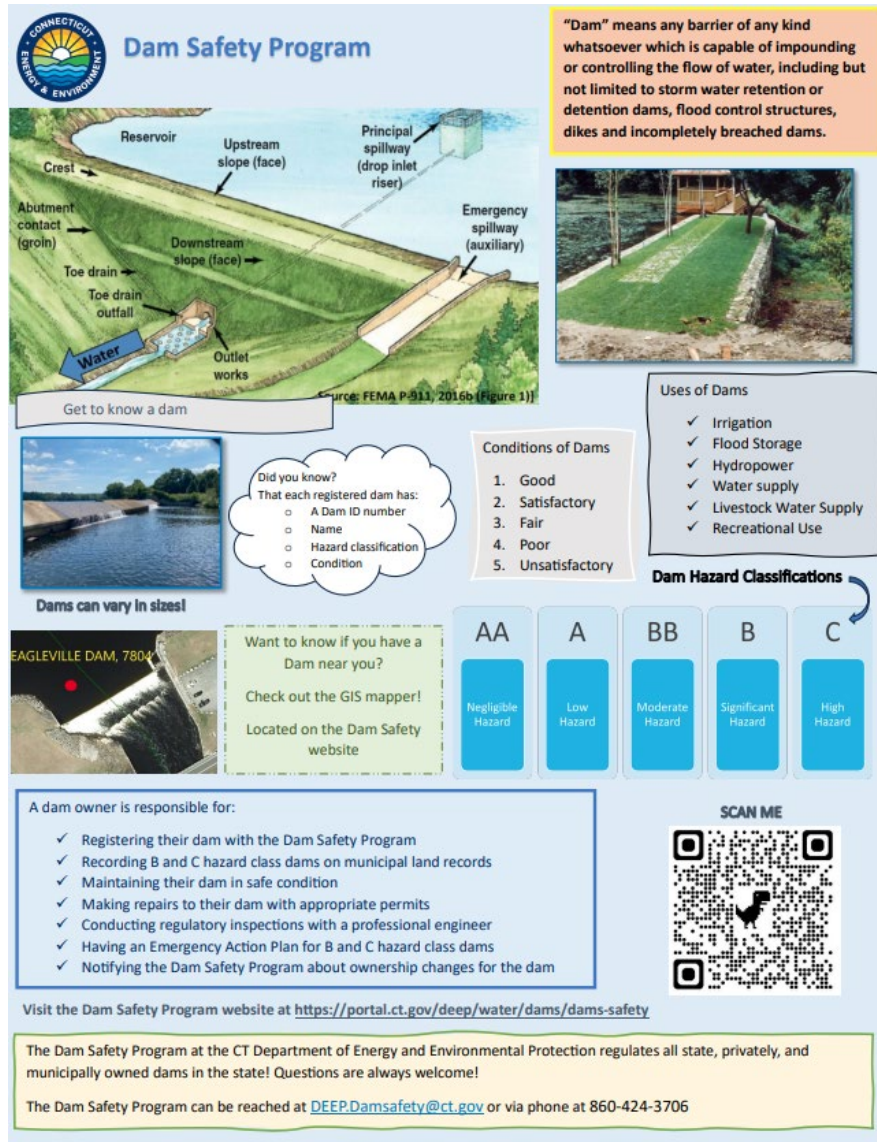
The Dam Safety Regulatory Program also offers in-person file reviews. File review appointments are currently only being offered on Tuesdays. Please fill out the [Dam Safety File Review Request Form](#) and submit it to DEEP.DamSafety@ct.gov to request an appointment.

DEEP Dam Safety GIS Database:

The Connecticut Department of Energy and Environmental Protection (DEEP) maintains an inventory of the

<https://portal.ct.gov/deep/water/dams/dams-safety>

INFOGRAPHIC



Thank you!

Deep.DamSafety@ct.gov